

FORM PTO-1390  
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

**LKAR01US**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**09/763289**

INTERNATIONAL APPLICATION NO.

**PCT/CN00/00028**

INTERNATIONAL FILING DATE

**17 FEBRUARY 2000**

PRIORITY DATE CLAIMED

**17 FEBRUARY 2000**

TITLE OF INVENTION **A SPREAD-SPECTRUM MULTIPLE ACCESS CODING  
METHOD WITH ZERO CORRELATION WINDOW**

APPLICANT(S) FOR DO/EO/US **DAOBEN LI**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. **09/763289**

INTERNATIONAL APPLICATION NO.

PCT/CN00 00028

ATTORNEY'S DOCKET NUMBER

LKAR01US

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. . . . . \$1000.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO . . . . . \$860.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . . \$710.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . . \$690.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . . \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS PTO USE ONLY

\$ 1,000.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	32 - 20 =	12	x \$18.00	\$ 216.00
Independent claims	0 - 3 =	0	x \$80.00	\$ 0.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$270.00

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1,216.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$ 608.00

**SUBTOTAL =**

\$ 608.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

**TOTAL NATIONAL FEE =**

\$ 608.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 0.00

**TOTAL FEES ENCLOSED =**

\$ 608.00

Amount to be  
refunded:

\$

charged:

\$

- a. ☐ A check in the amount of \$ 608.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 140783. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

DAVID NEWMAN CHARTERED  
P.O. BOX 2728  
LA PLATA, MD 20646-2728

SIGNATURE

DAVID B. NEWMAN, JR.

NAME

30,966

REGISTRATION NUMBER

Attorney Docket: LKAR01US

DECLARATION CLAIMING SMALL ENTITY STATUS

I hereby declare that I qualify as an independent inventor/owner as defined in 37 C.F.R. § 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent Office with regard to the invention entitled:

A SPREAD-SPECTRUM MULTIPLE ACCESS CODING METHOD

WITH ZERO CORRELATION WINDOW

and disclosed in a patent application for which an international patent application was filed 17 FEBRUARY 2000, having INTERNATIONAL APPLICATION NO. PCT/CN00/00028, and which is being filed concurrently herewith, by inventor

DAOBEN LI

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization to which we have assigned, granted, conveyed, or licensed under an existing contract or law to assign, grant, convey, or license any rights in the invention is listed below:

LAW OFFICES  
DAVID NEWMAN  
CHARTERED  
CENTENNIAL SQUARE  
P.O. BOX 2728  
LA PLATE, MD 20641  
(301) 254-8100

## NO SUCH PERSON, CONCERN OR ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification if any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due on the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF OWNER: Daoben Li

ADDRESS OF OWNER: Residence:

No. 232, Building No. 22  
No. 10 West Tucheng Road  
Haidian District  
Beijing 100044, China

SIGNATURE

Daoben Li

DATE

Feb. 19, 2001

T:\LKAR\LKAR01US.SS1.WPD

LAW OFFICES  
DAVID NEWMAN  
CHARTERED  
CENTENNIAL SQUARE  
P.O. BOX 2728  
LA PLATA, MD 20646  
(301) 934-9100

3/PRTS

09/763289  
JC02 Rec'd PCT/PTO 21 FEB 2001

### BACKGROUND OF THE INVENTION

This invention relates to a spread-spectrum and code-division-multiple-access (CDMA) wireless communication technology; in particular, the invention relates to a spread-spectrum multiple access coding method having high spectral efficiency with zero correlation window in a Personal Communication System (PCS).

### DESCRIPTION OF THE RELEVANT ART

The growing popularity of personal communication services coupled with the scarcity of radio bandwidth resources has resulted in the ever-increasing demand for higher spectral efficiency in wireless communications. Spectral efficiency refers to the maximum number of subscribers that can be supported in a cell or sector under a given bandwidth allocation and transmission rate requirement. The unit of spectral efficiency is the total transmission rate per unit bandwidth within a given cell or sector. Obviously, the better the spectral efficiency is, the higher the system capacity will be.

Traditional wireless Multiple Access Control (MAC) schemes, such as Frequency Division Multiple Access (FDMA), Time Division Multiple Access (TDMA), result in system capacity that is limited by the time-bandwidth multiple. It is impossible to increase the number of supportable subscribers under these MAC schemes. For example, assume that the basic transmission rate

of a subscriber is  $1/T$  samples per second and the allocated bandwidth is  $B$  Hz. Then, the time-bandwidth multiple is  $BT$ , which is the maximum number of supportable subscribers. It is impossible to support more than  $BT$  subscribers in FDMA and TDMA systems.

The situation is completely different under Code Division Multiple Access (CDMA) scheme where the system capacity only depends on the Signal-to-Interference Ratio (SIR). Increasing the number of subscriber reduces the SIR, thus lowering the transmission rate. However, the subscriber will not be denied radio resource allocation. In other words, unlike FDMA and TDMA, CDMA does not have a hard upper bound (i.e.  $BT$ ) on the number of supportable subscribers.

The capacity of a CDMA system depends on the interference level. As such, the ability to accurately control the interference level is critical to the performance and the successful operation of a CDMA system. There are four sources of interference in a CDMA system: the first type of interference (or noise) comes from various sources in the local environment, which cannot be control by the wireless communication system. The only way to alleviate this kind of interference is the use of low noise amplifier; the second type of interference is Inter-Symbol-Interference (ISI); the third type of interference is Multiple Access Interference (MAI) that is originated from

other subscribers in the same cell; the forth type of interference is Adjacent Channel or Cell Interference (ACI) that is originated from other subscribers in the neighboring channel or cell. It is possible to reduce or eliminate ISI, MAI, and ACI by using higher performance codes.

In a CDMA system, each subscriber has his/her own unique identification code. In addition, the subscribers' spread-spectrum codes are orthogonal to each other. The orthogonality requirement is common to all multiple access schemes. If the communication channel is an ideal linear time and frequency non-dispersion system, and the system has high degree of synchronization, then the subscribers will stay orthogonal to each other. In reality, the communication channel is not ideal, and it is very difficult to achieve tight synchronization for communication channels with time and frequency dispersion. As a result, the ability to achieve orthogonality in a non-ideal communication channel with time and frequency dispersion is critical to the successful operation of CDMA systems.

It is commonly known that mobile communication channel is a typical random time varying channel, with random frequency dispersion (due to Doppler shift effect) and random time dispersion (due to multi-path transmission effect). The former results in the degradation in time selectivity of the received signal with unexpected fluctuation of the reception power level.

1  
The latter results in the degradation in frequency selectivity,  
which results in the unexpected variation in the reception level  
within each frequency component. This degradation results in  
reduced system performance and significantly lowers the system  
5 capacity. In particular, because of the time dispersion of the  
transmission channel (as a result of multi-path transmission),  
different signal paths do not arrive at the receiver at the same  
time. This results in the overlapping of neighboring symbols of  
the same subscriber and causes Inter Symbol Interference (ISI).  
10 On the other hand, the time dispersion of the channel worsens  
the multiple access interference. When the relative delay of  
signals of different subscribers are zero, any orthogonal code  
can achieve orthogonality. However, it is very hard to maintain  
orthogonality if the relative delay of signals of subscribers is  
15 not zero.

20 In order to reduce ISI, the auto-correlation of each  
subscriber's access codes must be an ideal impulse function that  
has all energy at the origin, nowhere else. To reduce the MAI,  
the cross-correlations between multiple access codes of  
different subscribers must be zero for any relative delay. In  
the terms of orthogonality, each access code must be orthogonal  
to itself with non-zero time delay. The access codes must be  
orthogonal to each other for any relative delay (including zero  
delay).

LAW OFFICES

DAVID NEWMAN  
CHARTERED  
CENTENNIAL SQUARE  
P.O. BOX 2728  
LA PLATA, MD 20646  
(301) 934-6100



For simplicity, the value of auto-correlation function at the origin is called the main lobe and the values of auto-correlations and cross-correlations at other points are called side lobes. The correlation functions of ideal multiple access codes should have zero side lobes everywhere. Unfortunately, it is proved in Welch theory that there does not exist any ideal multiple access codes in the field of finite elements and even in field of complex numbers. The claim that there do not exist ideal multiple access codes is called Welch bound. Especially, the side lobes of auto-correlation function and the side lobes of cross-correlation function are contradicted to each other; side lobes of one correlation function are small but the side lobes of the other correlation function become big. Furthermore, NASA had done brute force searching, by using computer, to search for all ideal codes. However, there had been no breakthrough. Since then, there has not been much research work on the search of the ideal multiple codes.

In fact, NASA had just searched for the good access codes in the Group codes and the Welch bound is true in the sub-fields of complex numbers. Beyond the field of complex numbers, the ideal codes could exist. For example, B. P. Schweitzer has found an approach to form ideal codes in his Ph.D thesis on "Generalized complementary code sets" in 1971. Later, Leppanen and Pentti (Nokia Telecommunication) extended Dr. Schweitzer's results in the mixed TDMA and CDMA system. Their work has been

granted a patent (No: 0600713A2; application number: 933095564).  
They broke the Welch bound in the high dimensional space.  
However, the utilization of frequency is very low and thus there  
is no practical value. There has not been any application of  
their invention in nearly 30 years. According to their  
invention, in a system of N multiple access codes, there  
requires at least  $N^2$  basic codes. Each basic code has length at  
least N chips. That means it needs  $N^3$  chips to support N  
addresses. For example, when  $N = 128$ , with 16QAM modulation,  
the coded spectral efficiency is only  $\log_2 16 \times 128 / 128^3 =$   
 $2.441 \times 10^{-4}$  bits/Hz. The more access codes, the lower the  
utilization of the spectral efficiency. However, this coding  
methodology reminds us that ideal multiple access codes can be  
achieved via complementary code sets. However, we should avoid  
that the code length grows too fast with the required number of  
multiple access codes.

In addition, with technique of two-way synchronization, the  
relative time delay within each access code or between each  
other in a random time varying channel will not be greater than  
the maximum time dispersion of the channel plus the maximum  
timing error. Assuming that value is  $\Delta$  second, so long as  
their correlation functions do not have any side lobes in a time  
interval  $(-\Delta, \Delta)$ , there are no MAI and ISI between the access  
codes. The time interval that possesses the above property is

called "zero correlation window". It is obvious that the corresponding CDMA system will be ideal when the "zero correlation window" size is wider than the maximum time dispersion deviation of the channel (i.e. the time delays among multi-paths of the signal) plus the maximum timing error. At the same time, it is also true that the near-far effects are no longer effective. The well-known near-far effects is created by the overlapping of the side lobe of a signal source that is close to the base station receiver and the main lobe of a signal source that is far away from the base station receiver. The side lobe over-kills the main lobe, which causes high interference. The accurate, complicated and fast power control mechanism has to been used to overcome the near-far effects so that the energy of signals must be basically the same at the base station receiver. However, within the "zero correlation window" of the multiple access codes, there are no side lobes in the auto-correlation functions and cross-correlation functions under the working condition. The near-far effects no longer exist in the system. The complicated and fast power control mechanism will become less important and optional.

#### SUMMARY OF THE INVENTION

An object of the present invention is to provide a new coding method to create a series of spread-spectrum multiple access codes that have the "Zero Correlation Window" in their auto-correlation functions and cross-correlation functions. Due

to the creation of the "zero correlation window", the fatal near-far effects in traditional CDMA radio communications is solved. The Multiple Access Interference (MAI) and the Inter-Symbol Interference (ISI) is extinguished. A high RF capacity radio system could be thus created based on the invention.

The spread-spectrum multiple access codes with "zero correlation window" according to the present invention has the following two properties: The auto-correlation functions are zero except at the origin where all energy resides. That means the multiple access codes are ideal in the sense that the access codes are orthogonal to themselves with any relative nonzero time delay. There exists a "zero correlation window" at the origin hereabout where the cross-correlation functions of spread-spectrum multiple access codes are zero everywhere inside the window. This means that the access codes are mutually orthogonal whenever the relative time delays are no more than the window size.

To achieve the above objective, the coding method of spread-spectrum multiple access codes with "Zero Correlation Window" according to the present invention includes the following steps:

Selecting a pair of basically orthogonal complementary code group  $(C1, S1)$ ,  $(C2, S2)$  with a code length  $N$ , in which the

acylic auto-correlation and cross-correlation functions of code C and code S oppose each other but also complement each other except at the origin, after summarization of each other, the value of the auto-correlation and cross-correlation functions will be zero everywhere except at the origin;

Expanding the code length and code number of the pair of basically orthogonal complementary code group in a tree structure, according to the practically necessary maximum of subscriber access, the auto-correlation function of the expanded code group will be zero everywhere except at the origin, while the cross-correlation function will form a Zero Correlation Window around the origin with the size of the window  $\geq 2N-1$ .

The width of Zero Correlation Window should be more than or equal to the maximum of relative time delay within each access code or between each other in the system. The maximum of relative time delay will be determined by the maximum time dispersion of the channel plus the maximum timing error.

When applying the formed spread-spectrum access codes in practical project, it should be ensured that code C only operate with code C (including itself and other codes), and code S only with code S (including itself and other codes). Therefore, using two orthogonal propagation channels that are synchronous fading, the above code C and code S can be transmitted

respectively, and the same information bits can be loaded on modulation, and then summarize their output after despread and demodulating. For the two orthogonal propagation channels, code C and code S can be modulated respectively on polarized waves orthogonal with each other, or code C and code S can be put in two time slots that will not overlap with each other after transmission.

The step of expanding the code length and code number of the pair of basically orthogonal complementary code group in a tree structure, according to the present invention, refers to:

If  $(C1, S1)$ ,  $(C2, S2)$  were a pair of basically orthogonal complementary code group with code length N, then the two pairs of orthogonal complementary code group with each code length 2N can be generated in the following way:

$$\begin{array}{cc} C1 & S1 \\ C2 & S2 \end{array} \left[ \begin{array}{cccc} C1 & C2 & S1 & S2 \\ C1 & -C2 & S1 & -S2 \\ C2 & C1 & S2 & S1 \\ C2 & -C1 & S2 & -S1 \end{array} \right]$$

Wherein the values of auto-correlation functions of the orthogonal complementary code group formed on upper and lower trees after spread will be zero everywhere except at the origin, while the cross-correlation function will form a Zero

Correlation Window around the origin with the size of the window  $\geq 2N-1$ .

The above spread can be kept going on in accordance with the tree structure so as to generate  $2^{n+1}$  orthogonal complementary code groups with the code length  $N2^n$  and the width of the zero correlation window  $\geq 2N-1$ , in which  $n = 0, 1, 2, \dots$  is the number of spread times.

The equivalent transformation can be made to the generated orthogonal complementary code group.

The pair of basically orthogonal complementary code group  $(C1, S1)$ ,  $(C2, S2)$ , according to the present invention, refers to that the auto-correlation function and cross-correlation function is respectively the summation of acyclic auto-correlation with cross-correlation functions between codes  $C$ , and the summation of acyclic auto-correlation with cross-correlation functions between codes  $S$ .

The code length and the width of the zero correlation window of the pair of basically orthogonal complementary code group can be spread in the following way:

20

LAW OFFICES  
DAVID NEWMAN  
CHARTERED  
CENTENNIAL SQUARE  
P. O. BOX 2728  
LA PLATA, MD 20646  
(301) 934-6100

$$\begin{array}{cc}
 & \begin{array}{cccc} C1 & C2 & S1 & S2 \end{array} \\
 \begin{array}{cc} C1 & S1 \\ C2 & S2 \end{array} & \left[ \begin{array}{cccc} C1 & -C2 & S1 & -S2 \\ \\ C2 & C1 & S2 & S1 \\ C2 & -C1 & S2 & -S1 \end{array} \right.
 \end{array}$$

Wherein if each code length of the pair of basically orthogonal complementary code group **(C1, S1)**, **(C2, S2)** is N, and the width of the zero correlation window is L, then each code length of the spread pair of basically orthogonal complementary code group will be 2N, while the width of the zero correlation window will be 2L+1.

When N = 2, the pair of basically orthogonal complementary code group will be:

( ++ , +- )

( -+ , -- )

Wherein "+" means +1 and "-" means -1, while the width of the zero correlation window will be 3.

The above spread can be kept going on in accordance with the tree structure so as to generate  $2^n$  pairs of orthogonal complementary code groups with the code length  $N2^n$  and the width

10/26/00



of the zero correlation window as  $2^n L + 2^{n-1} + 2^{n-2} + 2^{n-3} + \dots + 2^1 + 1$ , in which  $n = 0, 1, 2, \dots$  is the number of spread times.

The equivalent transformation can be made to the generated basically orthogonal complementary code group.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a first schematic diagram of a generation tree of an orthogonal complementary code group with zero correlation window in the present invention;

FIG. 2 is a second schematic diagram of the generation tree of the orthogonal complementary code group with zero correlation window in the present invention; and

FIG. 3 is a schematic diagram of the generation tree of the basically orthogonal complementary code group in the present invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention is described with reference to the preferred embodiments and the drawings.

The coding steps of the present invention will be described hereinafter beginning with the basic code group with its code length 2 and the access number 2.

Given two sets of codes of length 2, C Set: C1=(+, +),  
C2=(-, +) and S Set: S1=(+, -), S2=(-, -); wherein "+" means +1  
and "-" means -1.

It is true that without any shift between each other  
(relative time delay), each pair of {C1, C2}, {S1, S2}, {C1,  
S1}, {C2, S2} are mutually orthogonal, i.e. their cross-  
correlation functions have zero value at the origin. However,  
with shift between each other (relative time delay), the mutual  
orthogonal property may not exist, i.e. the cross-correlation  
functions have non-zero values except the origin. See the  
following correlation tables for details. Table 1 shows the  
auto- and cross-correlation functions values of codes **C1** and **C2**  
with different shifts and Table 2 shows the auto- and cross-  
correlation values of codes **S1** and **S2** with different shifts.

Table 1 Correlation of the C Codes: C1=(+ +); C2 =(- +)

Time shift $\tau$ Correlation	-1	0	1
$R_{C_1}(\tau)$	1	2	1
$R_{C_2}(\tau)$	-1	2	-1
$R_{C_1 C_2}(\tau)$	1	0	-1

Table 2 Correlation of the S codes: S1=(+ -); S2=(- -)

Time shift $\tau$ Correlation	-1	0	1
$R_{s_1}(\tau)$	-1	2	-1
$R_{s_2}(\tau)$	1	2	1
$R_{s_1 s_2}(\tau)$	-1	0	1

It can be seen that both codes are not ideal. However, when adding these two tables together, the codes become ideal (See Table 3).

Now Define auto-correlation functions

$$R_1(\tau) \triangleq R_{c_1}(\tau) + R_{s_1}(\tau), R_2(\tau) \triangleq R_{c_2}(\tau) + R_{s_2}(\tau),$$

and cross-correlation functions

$$R_{12}(\tau) \triangleq R_{c_1 c_2}(\tau) + R_{s_1 s_2}(\tau).$$

With the above new definition of correlation functions, i.e. the new correlation functions (including auto-and cross-correlation functions) are summation of the correlation functions of C codes and the correlation functions of S codes,

the values of auto- and cross-correlation functions of the codes one and codes two become ideal.

Such codes C and S can be called "complementary orthogonal" if C and S are ideal under the new definition of correlation functions  $R_1(\tau)$ ,  $R_2(\tau)$ , and  $R_{12}(\tau)$ , i.e. their correlation

functions are opposed and complemented to each other except the origin. The above C and S code sets can be, for convenience, expressed as (C1, S1) = (+ +, + -) and (C2, S2) = (- +, - -).

Table 3 shows the correlation functions of the complementary orthogonal codes.

**Table 3 Correlation of C and S codes (C1, S1) = (+ +; + -); (C2, S2) = (- +; - -)**

Time shift $\tau$			
	-1	0	1
Correlation			
$R_1(\tau) \stackrel{\Delta}{=} R_{c_1}(\tau) + R_{s_1}(\tau)$	0	4	0
$R_2(\tau) \stackrel{\Delta}{=} R_{c_2}(\tau) + R_{s_2}(\tau)$	0	4	0
$R_{12}(\tau) \stackrel{\Delta}{=} R_{c_1 c_2}(\tau) + R_{s_1 s_2}(\tau)$	0	0	0

15

There is only one basic form for the orthogonal complementary code group with the number of access code 2 and each code length 2. It is proven that the C set of codes  $C1 = (+ +)$ ,  $C2 = (- +)$  and the S set of codes:  $S1 = (+ -)$ ,  $S2 = (- -)$  are the basic form of complementary orthogonal codes of length 2. Other forms can be derived from re-ordering of  $C1$  and  $C2$ ,  $S1$  and  $S2$ , swapping C and S, rotation, order reverse, interleaving polarity, and alternative negation etc without any substantial differences. It should be noted that only the operation of code C with code C and code S with code S should take place when making the operation of correlation or matching filtering. Code C and code S will not encounter on operation.

For longer code, for example, the orthogonal complementary code group with the number of access code 2 and each code length 4 can be derived from the above basically orthogonal complementary code group.

One of the generation methods is:

Let

$$(C1', S1') = (C1 \ C2, \ S1 \ S2);$$

$$(C2', S2') = (C1 - C2, \ S1 - S2);$$

Wherein  $C1'$  means the concatenation of original code  $C1$  and  $C2$ ;

C2' means the concatenation of C1 and the negation of the C2.

Same operations could be applied to S1' and S2'.

They can be expressed as:

$$(C1', S1') = (+ + - +, + - - -);$$

$$(C2', S2') = (+ + + -, + - + +);$$

Table 4 shows the orthogonal complementary correlation functions of the new code group. It can be seen that the complementary auto-correlation function and cross-correlation function are all ideal.

The other way is reversing the order of the codes, that is:

$$(C1'', S1'') = (C2C1, S2S1) = (- + + +, - - + -)$$

$$(C2'', S2'') = (C2 - C1, S2 - S1) = (- + - -, - - - +)$$

The complementary auto-correlation function and cross-correlation function are also ideal. The orthogonal complementary correlation functions of the new code group are the same with those of the above code group. (See Table 4)

Table 4: The Orthogonal Complementary Correlation Functions  
(each code length is  $2^2 = 4$ ):

$$(C1', S1') = (+ + - +, + - - -);$$

$$(C2', S2') = (+ + + -, + - + +);$$

10  
15  
20

or

$$(C1", S1") = (- + + +, - - + -)$$

$$(C2", S2") = (- + - -, - - - +)$$

Time shift $\tau$	-3	-2	-1	0	1	2	3
Correlation							
$R_1(\tau) = R_{c_1}(\tau) + R_{s_1}(\tau)$	0	0	0	8	0	0	0
$R_2(\tau) = R_{c_2}(\tau) + R_{s_2}(\tau)$	0	0	0	8	0	0	0
$R_{12}(\tau) = R_{c_1 c_2}(\tau) + R_{s_1 s_2}(\tau)$	0	0	0	0	0	0	0

With this way going on, the orthogonal complementary code group with the number of access code 2 and each code length  $2^n$  ( $n = 1, 2, \dots$ ) can be obtained. It can be proved that their auto-correlation and cross-correlation functions are all ideal. Although the auto-correlation and cross-correlation functions of the access codes formed by this coding method, however, are ideal, the number of the access codes is only 2. It is apparent that two access codes are too small for a CDMA communications

5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15

system. In practice, it is required that the number of the orthogonal access codes be as many as possible under the condition of given code length, while their auto-correlation and cross-correlation functions are not necessarily ideal everywhere. It is desirable that there is a zero correlation window around the origin that can meet the needs.

In fact, renumbering and arranging the above four complementary code groups with each code length 4, the result can be as follows:

(C1, S1) = (+ + - +, + - - -); (C2, S2) = (+ + + -, + - + +)  
(C3, S3) = (- + + +, - - + -); (C4, S4) = (- + - -, - - - +)

Table 5 shows the correlation functions of the complementary code group.

Table 5: The Correlation Matrix of Codes (each code length is  $2^2 = 4$ ):

(C1, S1) = (+ + - +, + - - -); (C2, S2) = (+ + + -, + - + +)  
(C3, S3) = (- + + +, - - + -); (C4, S4) = (- + - -, - - - +)

10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65  
66  
67  
68  
69  
70  
71  
72  
73  
74  
75  
76  
77  
78  
79  
80  
81  
82  
83  
84  
85  
86  
87  
88  
89  
90  
91  
92  
93  
94  
95  
96  
97  
98  
99  
100  
101  
102  
103  
104  
105  
106  
107  
108  
109  
110  
111  
112  
113  
114  
115  
116  
117  
118  
119  
120  
121  
122  
123  
124  
125  
126  
127  
128  
129  
130  
131  
132  
133  
134  
135  
136  
137  
138  
139  
140  
141  
142  
143  
144  
145  
146  
147  
148  
149  
150  
151  
152  
153  
154  
155  
156  
157  
158  
159  
160  
161  
162  
163  
164  
165  
166  
167  
168  
169  
170  
171  
172  
173  
174  
175  
176  
177  
178  
179  
180  
181  
182  
183  
184  
185  
186  
187  
188  
189  
190  
191  
192  
193  
194  
195  
196  
197  
198  
199  
200  
201  
202  
203  
204  
205  
206  
207  
208  
209  
210  
211  
212  
213  
214  
215  
216  
217  
218  
219  
220  
221  
222  
223  
224  
225  
226  
227  
228  
229  
230  
231  
232  
233  
234  
235  
236  
237  
238  
239  
240  
241  
242  
243  
244  
245  
246  
247  
248  
249  
250  
251  
252  
253  
254  
255  
256  
257  
258  
259  
260  
261  
262  
263  
264  
265  
266  
267  
268  
269  
270  
271  
272  
273  
274  
275  
276  
277  
278  
279  
280  
281  
282  
283  
284  
285  
286  
287  
288  
289  
290  
291  
292  
293  
294  
295  
296  
297  
298  
299  
300  
301  
302  
303  
304  
305  
306  
307  
308  
309  
310  
311  
312  
313  
314  
315  
316  
317  
318  
319  
320  
321  
322  
323  
324  
325  
326  
327  
328  
329  
330  
331  
332  
333  
334  
335  
336  
337  
338  
339  
340  
341  
342  
343  
344  
345  
346  
347  
348  
349  
350  
351  
352  
353  
354  
355  
356  
357  
358  
359  
360  
361  
362  
363  
364  
365  
366  
367  
368  
369  
370  
371  
372  
373  
374  
375  
376  
377  
378  
379  
380  
381  
382  
383  
384  
385  
386  
387  
388  
389  
390  
391  
392  
393  
394  
395  
396  
397  
398  
399  
400  
401  
402  
403  
404  
405  
406  
407  
408  
409  
410  
411  
412  
413  
414  
415  
416  
417  
418  
419  
420  
421  
422  
423  
424  
425  
426  
427  
428  
429  
430  
431  
432  
433  
434  
435  
436  
437  
438  
439  
440  
441  
442  
443  
444  
445  
446  
447  
448  
449  
450  
451  
452  
453  
454  
455  
456  
457  
458  
459  
460  
461  
462  
463  
464  
465  
466  
467  
468  
469  
470  
471  
472  
473  
474  
475  
476  
477  
478  
479  
480  
481  
482  
483  
484  
485  
486  
487  
488  
489  
490  
491  
492  
493  
494  
495  
496  
497  
498  
499  
500  
501  
502  
503  
504  
505  
506  
507  
508  
509  
510  
511  
512  
513  
514  
515  
516  
517  
518  
519  
520  
521  
522  
523  
524  
525  
526  
527  
528  
529  
530  
531  
532  
533  
534  
535  
536  
537  
538  
539  
540  
541  
542  
543  
544  
545  
546  
547  
548  
549  
550  
551  
552  
553  
554  
555  
556  
557  
558  
559  
560  
561  
562  
563  
564  
565  
566  
567  
568  
569  
570  
571  
572  
573  
574  
575  
576  
577  
578  
579  
580  
581  
582  
583  
584  
585  
586  
587  
588  
589  
590  
591  
592  
593  
594  
595  
596  
597  
598  
599  
600  
601  
602  
603  
604  
605  
606  
607  
608  
609  
610  
611  
612  
613  
614  
615  
616  
617  
618  
619  
620  
621  
622  
623  
624  
625  
626  
627  
628  
629  
630  
631  
632  
633  
634  
635  
636  
637  
638  
639  
640  
641  
642  
643  
644  
645  
646  
647  
648  
649  
650  
651  
652  
653  
654  
655  
656  
657  
658  
659  
660  
661  
662  
663  
664  
665  
666  
667  
668  
669  
670  
671  
672  
673  
674  
675  
676  
677  
678  
679  
680  
681  
682  
683  
684  
685  
686  
687  
688  
689  
690  
691  
692  
693  
694  
695  
696  
697  
698  
699  
700  
701  
702  
703  
704  
705  
706  
707  
708  
709  
710  
711  
712  
713  
714  
715  
716  
717  
718  
719  
720  
721  
722  
723  
724  
725  
726  
727  
728  
729  
730  
731  
732  
733  
734  
735  
736  
737  
738  
739  
740  
741  
742  
743  
744  
745  
746  
747  
748  
749  
750  
751  
752  
753  
754  
755  
756  
757  
758  
759  
760  
761  
762  
763  
764  
765  
766  
767  
768  
769  
770  
771  
772  
773  
774  
775  
776  
777  
778  
779  
780  
781  
782  
783  
784  
785  
786  
787  
788  
789  
790  
791  
792  
793  
794  
795  
796  
797  
798  
799  
800  
801  
802  
803  
804  
805  
806  
807  
808  
809  
810  
811  
812  
813  
814  
815  
816  
817  
818  
819  
820  
821  
822  
823  
824  
825  
826  
827  
828  
829  
830  
831  
832  
833  
834  
835  
836  
837  
838  
839  
840  
841  
842  
843  
844  
845  
846  
847  
848  
849  
850  
851  
852  
853  
854  
855  
856  
857  
858  
859  
860  
861  
862  
863  
864  
865  
866  
867  
868  
869  
870  
871  
872  
873  
874  
875  
876  
877  
878  
879  
880  
881  
882  
883  
884  
885  
886  
887  
888  
889  
890  
891  
892  
893  
894  
895  
896  
897  
898  
899  
900  
901  
902  
903  
904  
905  
906  
907  
908  
909  
910  
911  
912  
913  
914  
915  
916  
917  
918  
919  
920  
921  
922  
923  
924  
925  
926  
927  
928  
929  
930  
931  
932  
933  
934  
935  
936  
937  
938  
939  
940  
941  
942  
943  
944  
945  
946  
947  
948  
949  
950  
951  
952  
953  
954  
955  
956  
957  
958  
959  
960  
961  
962  
963  
964  
965  
966  
967  
968  
969  
970  
971  
972  
973  
974  
975  
976  
977  
978  
979  
980  
981  
982  
983  
984  
985  
986  
987  
988  
989  
990  
991  
992  
993  
994  
995  
996  
997  
998  
999  
1000  
1001  
1002  
1003  
1004  
1005  
1006  
1007  
1008  
1009  
1010  
1011  
1012  
1013  
1014  
1015  
1016  
1017  
1018  
1019  
1020  
1021  
1022  
1023  
1024  
1025  
1026  
1027  
1028  
1029  
1030  
1031  
1032  
1033  
1034  
1035  
1036  
1037  
1038  
1039  
1040  
1041  
1042  
1043  
1044  
1045  
1046  
1047  
1048  
1049  
1050  
1051  
1052  
1053  
1054  
1055  
1056  
1057  
1058  
1059  
1060  
1061  
1062  
1063  
1064  
1065  
1066  
1067  
1068  
1069  
1070  
1071  
1072  
1073  
1074  
1075  
1076  
1077  
1078  
1079  
1080  
1081  
1082  
1083  
1084  
1085  
1086  
1087  
1088  
1089  
1090  
1091  
1092  
1093  
1094  
1095  
1096  
1097  
1098  
1099  
1100  
1101  
1102  
1103  
1104  
1105  
1106  
1107  
1108  
1109  
1110  
1111  
1112  
1113  
1114  
1115  
1116  
1117  
1118  
1119  
1120  
1121  
1122  
1123  
1124  
1125  
1126  
1127  
1128  
1129  
1130  
1131  
1132  
1133  
1134  
1135  
1136  
1137  
1138  
1139  
1140  
1141  
1142  
1143  
1144  
1145  
1146  
1147  
1148  
1149  
1150  
1151  
1152  
1153  
1154  
1155  
1156  
1157  
1158  
1159  
1160  
1161  
1162  
1163  
1164  
1165  
1166  
1167  
1168  
1169  
1170  
1171  
1172  
1173  
1174  
1175  
1176  
1177  
1178  
1179  
1180  
1181  
1182  
1183  
1184  
1185  
1186  
1187  
1188  
1189  
1190  
1191  
1192  
1193  
1194  
1195  
1196  
1197  
1198  
1199  
1200  
1201  
1202  
1203  
1204  
1205  
1206  
1207  
1208  
1209  
1210  
1211  
1212  
1213  
1214  
1215  
1216  
1217  
1218  
1219  
1220  
1221  
1222  
1223  
1224  
1225  
1226  
1227  
1228  
1229  
1230  
1231  
1232  
1233  
1234  
1235  
1236  
1237  
1238  
1239  
1240  
1241  
1242  
1243  
1244  
1245  
1246  
1247  
1248  
1249  
1250  
1251  
1252  
1253  
1254  
1255  
1256  
1257  
1258  
1259  
1260  
1261  
1262  
1263  
1264  
1265  
1266  
1267  
1268  
1269  
1270  
1271  
1272  
1273  
1274  
1275  
1276  
1277  
1278  
1279  
1280  
1281  
1282  
1283  
1284  
1285  
1286  
1287  
1288  
1289  
1290  
1291  
1292  
1293  
1294  
1295  
1296  
1297  
1298  
1299  
1300  
1301  
1302  
1303  
1304  
1305  
1306  
1307  
1308  
1309  
1310  
1311  
1312  
1313  
1314  
1315  
1316  
1317  
1318  
1319  
1320  
1321  
1322  
1323  
1324  
1325  
1326  
1327  
1328  
1329  
1330  
1331  
1332  
1333  
1334  
1335  
1336  
1337  
1338  
1339  
1340  
1341  
1342  
1343  
1344  
1345  
1346  
1347  
1348  
1349  
1350  
1351  
1352  
1353  
1354  
1355  
1356  
1357  
1358  
1359  
1360  
1361  
1362  
1363  
1364  
1365  
1366  
1367  
1368  
1369  
1370  
1371  
1372  
1373  
1374  
1375  
1376  
1377  
1378  
1379  
1380  
1381  
1382  
1383  
1384  
1385  
1386  
1387  
1388  
1389  
1390  
1391  
1392  
1393  
1394  
1395  
1396  
1397  
1398  
1399  
1400  
1401  
1402  
1403  
1404  
1405  
1406  
1407  
1408  
1409  
1410  
1411  
1412  
1413  
1414  
1415  
1416  
1417  
1418  
1419  
1420  
1421  
1422  
1423  
1424  
1425  
1426  
1427  
1428  
1429  
1430  
1431  
1432  
1433  
1434  
1435  
1436  
1437  
1438  
1439  
1440  
1441  
1442  
1443  
1444  
1445  
1446  
1447  
1448  
1449  
1450  
1451  
1452  
1453  
1454  
1455  
1456  
1457  
1458  
1459  
1460  
1461  
1462  
1463  
1464  
1465  
1466  
1467  
1468  
1469  
1470  
1471  
1472  
1473  
1474  
1475  
1476  
1477  
1478  
1479  
1480  
1481  
1482  
1483  
1484  
1485  
1486  
1487  
1488  
1489  
1490  
1491  
1492  
1493  
1494  
1495  
1496  
1497  
1498  
1499  
1500  
1501  
1502  
1503  
1504  
1505  
1506  
1507  
1508  
1509  
1510  
1511  
1512  
1513  
1514  
1515  
1516  
1517  
1518  
1519  
1520  
1521  
1522  
1523  
1524  
1525  
1526  
1527  
1528  
1529  
1530  
1531  
1532  
1533  
1534  
1535  
1536  
1537  
1538  
1539  
1540  
1541  
1542  
1543  
1544  
1545  
1546  
1547  
1548  
1549  
1550  
1551  
1552  
1553  
1554  
1555  
1556  
1557  
1558  
1559  
1560  
1561  
1562  
1563  
1564  
1565  
1566  
1567  
1568  
1569  
1570  
1571  
1572  
1573  
1574  
1575  
1576  
1577  
1578  
1579  
1580  
1581  
1582  
1583  
1584  
1585  
1586  
1587  
1588  
1589  
1590  
1591  
1592  
1593  
1594  
1595  
1596  
1597  
1598  
1599  
1600  
1601  
1602  
1603  
1604  
1605  
1606  
1607  
1608  
1609  
1610  
1611  
1612  
1613  
1614  
1615  
1616  
1617  
1618  
1619  
1620  
1621  
1622  
1623  
1624  
1625  
1626  
1627  
1628  
1629  
1630  
1631  
1632  
1633  
1634  
1635  
1636  
1637  
1638  
1639  
1640  
1641  
1642  
1643  
1644  
1645  
1646  
1647  
1648  
1649  
1650  
1651  
1652  
1653  
1654  
1655  
1656  
1657  
1658  
1659  
1660  
1661  
1662  
1663  
1664  
1665  
1666  
1667  
1668  
1669  
1670  
1671  
1672  
1673  
1674  
1675  
1676  
1677  
1678  
1679  
1680  
1681  
1682  
1683  
1684  
1685  
1686  
1687  
1688  
1689  
1690  
1691  
1692  
1693  
1694  
1695  
1696  
1697  
1698  
1699  
1700  
1701  
1702  
1703  
1704  
1705  
1706  
1707  
1708  
1709  
1710  
1711  
1712  
1713  
1714  
1715  
1716  
1717  
1718  
1719  
1720  
1721  
1722  
1723  
1724  
1725  
1726  
1727  
1728  
1729  
1730  
1731  
1732  
1733  
1734  
1735  
1736  
1737  
1738  
1739  
1740  
1741  
1742  
1743  
1744  
1745  
1746  
1747  
1748  
1749  
1750  
1751  
1752  
1753  
1754  
1755  
1756  
1757  
1758  
1759  
1760  
1761  
1762  
1763  
1764  
1765  
1766  
1767  
1768  
1769  
1770  
1771  
1772  
1773  
1774  
1775  
1776  
1777  
1778  
1779  
1780  
1781  
1782  
1783  
1784  
1785  
1786  
1787  
1788  
1789  
1790  
1791  
1792  
1793  
1794  
1795  
1796  
1797  
1798  
1799  
1800  
1801  
1802  
1803  
1804  
1805  
1806  
1807  
1808  
1809  
1810  
1811  
1812  
1813  
1814  
1815  
1816  
1817  
1818  
1819  
1820  
1821  
1822  
1823  
1824  
1825  
1826  
1827  
1828  
1829  
1830  
1831  
1832  
1833  
1834  
1835  
1836  
1837  
1838  
1839  
1840  
1841  
1842  
1843  
1844  
1845  
1846  
1847  
1848  
1849  
1850  
1851  
1852  
1853  
1854  
1855  
1856  
1857  
1858  
1859  
1860  
1861  
1862  
1863  
1864  
1865  
1866  
1867  
1868  
1869  
1870  
1871  
1872  
1873  
1874  
1875  
1876  
1877  
1878  
1879  
1880  
1881  
1882  
1883  
1884  
1885  
1886  
1887  
1888  
1889  
1890  
1891  
1892  
1893  
1894  
1895  
1896  
1897  
1898  
1899  
1900  
1901  
1902  
1903  
1904  
1905  
1906  
1907  
1908  
1909  
1910  
1911  
1912  
1913  
1914  
1915  
1916  
1917  
1918  
1919  
1920  
1921  
1922  
1923  
1924  
1925  
1926  
1927  
1928  
1929  
1930  
1931  
1932  
1933  
1934  
1935  
1936  
1937  
1938  
1939  
1940  
1941  
1942  
1943  
1944  
1945  
1946  
1947  
1948  
1949  
1950  
1951  
1952  
1953  
1954  
1955  
1956  
1957  
1958  
1959  
1960  
1961  
1962  
1963  
1964  
1965  
1966  
1967  
1968  
1969  
1970  
1971  
1972  
1973  
1974  
1975  
1976  
1977  
1978  
1979  
1980  
1981  
1982  
1983  
1984  
1985  
1986  
1987  
1988  
1989  
1990  
1991  
1992  
1993  
1994  
1995  
1996  
1997  
1998  
1999  
2000  
2001  
2002  
2003  
2004  
2005  
2006  
2007  
2008  
2009  
2010  
2011  
2012  
2013  
2014  
2015  
2016  
2017  
2018  
2019  
2020  
2021  
2022  
2023  
2024  
2025  
2026  
2027  
2028  
2029  
2030  
2031  
2032  
2033  
2034  
2035  
2036  
2037  
2038  
2039  
2040  
2041  
2042  
2043  
2044  
2045  
2046  
2047  
2048  
2049  
2050  
2051  
2052  
2053  
2054  
2055  
2056  
2057  
2058  
2059  
2060  
2061  
2062  
2063  
2064  
2065  
2066  
2067  
2068  
2069  
2070  
2071  
2072  
2073  
2074  
2075  
2076  
2077  
2078  
2079  
2080  
2081  
2082  
2083  
2084  
2085  
2086  
2087  
2088  
2089  
2090  
2091  
2092  
2093  
2094  
2095  
2096  
2097  
2098  
2099  
2100  
2101  
2102  
2103  
2104  
2105  
2106  
2107  
2108  
2109  
2110  
2111  
2112  
2113  
2114  
2115  
2116  
2117  
2118  
2119  
2120  
2121  
2122  
2123  
2124  
2125  
2126  
2127  
2128  
2129  
2130  
2131  
2132  
2133  
2134  
2135  
2136  
2137  
2138  
2139  
2140  
2141  
2142  
2143  
2144  
2145  
2146  
2147  
2148  
2149  
2150  
2151  
2152  
2153  
2154  
2155  
2156  
2157  
2158  
2159  
2160  
2161  
2162  
2163  
2164  
2165  
2166  
2167  
2168  
2169  
2170  
2171  
2



10

5

10

Wherein (C1, S1) and (C2, S2), (C3, S3) and (C4, S4) are the pair of orthogonal complementary code group with ideal property respectively, but the cross-correlation functions between groups are not ideal. For example,  $R_{13}(\tau)$  and  $R_{14}(\tau)$ ,  $R_{23}(\tau)$  and  $R_{24}(\tau)$  are not zero everywhere, but there is a zero correlation window with the size of 3 chips wide. Thus, an orthogonal complementary code group with the number of access codes 4, each code length 4, and a zero correlation window can be obtained. The reason that the size of the zero correlation window is 3 is because the above four orthogonal complementary code groups are all composed of the basically orthogonal complementary code group with each code length 2, i.e. (C1, S1) = (+ +, + -) and (C2, S2) = (- +, - -), while the basic code group has only three status of time shift, i.e. -1, 0, and 1, because of each code length 2. In the ideal cases can only zero correlation window with the size of 3 be obtained.

To generate a wide window of zero correlation, the C1 and S1 codes are required to increase their sizes. For example, the code length can be 4. There are two pairs of completely orthogonal basic complementary code group with each code length 4.

They are: (+ + - +, + - - -), (+ + + -, + - + +), and (- + ++,

- - + -), (- +--, - - - +).

Supposing that the first pair of code group is the original orthogonal complementary code group, four pairs of orthogonal complementary code group with each code length 8 can be generated following the aforementioned methods.

They are: (C1, S1) = (+ + - + + + + -, + - - - + - + +); (C2, S2) = (+ + - + - - - +, + - - - - + - -); and (C3, S3) = (+ + + - + + - +, + - + + + - - -); (C4, S4) = (+ + + - - - + -, + - + + - + + +).

It can be expected that the size of their zero correlation window is 7 chips wide.

The correlation functions of these orthogonal complementary codes group are presented in the following matrix of Table 6:

Table 6 Correlation Matrix of codes (each code length  $2^3 = 8$ ):

(C1, S1) = (+ + - + + + + -, + - - - + - + +);

(C2, S2) = (+ + - + - - - +, + - - - - + - -);

(C3, S3) = (+ + + - + + - +, + - + + + - - -);

(C4, S4) = (+ + + - - - + -, + - + + - + + +)

5

10

Time shift $\tau$	-7	-6	-5	-4	-3	-2	-1	0	1	2	3	4	5	6	7
Correlation															
$R_1(\tau) \stackrel{\Delta}{=} R_{c_1}(\tau) + R_{s_1}(\tau)$	0	0	0	0	0	0	0	16	0	0	0	0	0	0	0
$R_2(\tau) \stackrel{\Delta}{=} R_{c_2}(\tau) + R_{s_2}(\tau)$	0	0	0	0	0	0	0	16	0	0	0	0	0	0	0
$R_3(\tau) \stackrel{\Delta}{=} R_{c_3}(\tau) + R_{s_3}(\tau)$	0	0	0	0	0	0	0	16	0	0	0	0	0	0	0
$R_4(\tau) \stackrel{\Delta}{=} R_{c_4}(\tau) + R_{s_4}(\tau)$	0	0	0	0	0	0	0	16	0	0	0	0	0	0	0
$R_{12}(\tau) \stackrel{\Delta}{=} R_{c_1 c_2}(\tau) + R_{s_1 s_2}(\tau)$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$R_{34}(\tau) \stackrel{\Delta}{=} R_{c_3 c_4}(\tau) + R_{s_3 s_4}(\tau)$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$R_{13}(\tau) \stackrel{\Delta}{=} R_{c_1 c_3}(\tau) + R_{s_1 s_3}(\tau)$	0	0	0	8	0	0	0	0	0	0	0	8	0	0	0
$R_{14}(\tau) \stackrel{\Delta}{=} R_{c_1 c_4}(\tau) + R_{s_1 s_4}(\tau)$	0	0	0	-8	0	0	0	0	0	0	0	8	0	0	0
$R_{23}(\tau) \stackrel{\Delta}{=} R_{c_2 c_3}(\tau) + R_{s_2 s_3}(\tau)$	0	0	0	8	0	0	0	0	0	0	0	-8	0	0	0
$R_{24}(\tau) \stackrel{\Delta}{=} R_{c_2 c_4}(\tau) + R_{s_2 s_4}(\tau)$	0	0	0	-8	0	0	0	0	0	0	0	8	0	0	0

LAW OFFICES  
DAVID NEWMAN  
CHARTERED  
CENTENNIAL SQUARE  
P.O. BOX 2728  
LA PLATA, MD 20646  
(301) 934-6100

It is observed that two pairs of four new orthogonal complementary codes groups can be obtained from one pair of orthogonal complementary codes groups, with each code length doubled. Four pairs of eight orthogonal complementary codes groups can be further derived from these two pairs of four orthogonal complementary codes groups, and then, analogically in this way, eight pairs of sixteen orthogonal complementary codes groups can be derived, ..., wherein the auto-correlation functions of each codes group and the cross-correlation functions between inside codes groups are all ideal, while the cross-correlation functions of the codes groups between pairs have a zero correlation window with its size depending on the original orthogonal complementary code group. The process can be illustrated by some drawing of generation tree. Fig. 1 shows one of such generation tree, Fig. 2 is another one. There are many others of generation trees; the relations between them are equivalent transformation. Such transformation does not change the size of zero correlation windows. However, it sometimes changes the value of side lobes and their distribution outside the " zero correlation window".

FIG. 3 shows a basic pair of complementary code group which will be used in the actual coding process of multiple access codes. In Fig. 3, all pairs of code group in "<>" are basic pair of orthogonal complementary code group without any side

lobes for their complementary auto-correlation functions and cross-correlation functions, that is to say, totally ideal. It should be noted that FIG. 3 shows only a pair of basically orthogonal complementary code group; there are still many ways of equivalent transformations, such as swapping the order of up and down or left and right, reversing the order of forwards and backwards, making alternately negation, rotating in complex plane, etc, in which equivalent pair of basically orthogonal complementary code group can be obtained with completely ideal auto-correlation and cross-correlation functions.

The construction process of the spread spectrum access codes according to the present invention will be described in detail below.

Firstly, determine the required size of zero correlation windows according as the propagation conditions of the applied system, the basic spread spectrum code bit rate (referred to as Chip Rate in terms of engineering, calculated as MCPS) used by the system, and the maximum timing error in the system.

Secondly, according to the required size of zero correlation window, select any pair of basically orthogonal complementary code group with its size of zero correlation

20

LAW OFFICES

DAVID NEWMAN  
CHARTERED

CENTENNIAL SQUARE  
P.O. BOX 2728

LA PLATA, MD 20646  
(301) 934-6100



codes. The types of such transformations are so many that enumeration one by one is not necessarily. Here give the most common of equivalent transformations as follows:

Swapping the position of code C and code S.

Swapping the positions of C1 and C2 and S1 and S2 simultaneously.

Making negation to the order of codes.

Making negation to each code bit.

Interlacing the polarity of each code bit: for example, for (+ + - +, + - - -), (+ + + -, + - + +), interlace the polarity of each code bit, that is to say, the polarity of the odd code bits, such as the first, the third bit, etc, will remain unchanged, while the polarity of the even code bits, such as the second, the fourth bit etc, will change. So (+ - - -, + + - +), (+ - + +, + + + -) will result from this transformation. In like manner, the polarity of the odd code bits can be changed, while the polarity of the even code bits unchanged.

Rotating each code bit in complex plane: for example, by rotating in turn each code bit of (+ + - +, + - - -), (+ + + -, + - + +) at  $\alpha$  angular degree, the following result will be obtained:



$$(e^{j\varphi_{c1}} e^{j(\varphi_{c1}+\alpha)} - e^{j(\varphi_{c1}+2\alpha)} e^{j(\varphi_{c1}+3\alpha)}, e^{j\varphi_{s1}} - e^{j(\varphi_{s1}+\alpha)} - e^{j(\varphi_{s1}+2\alpha)} - e^{j(\varphi_{s1}+3\alpha)})$$

$$(e^{j\varphi_{c2}} e^{j(\varphi_{c2}+\alpha)} e^{j(\varphi_{c2}+2\alpha)} - e^{j(\varphi_{c2}+3\alpha)}, e^{j\varphi_{s2}} - e^{j(\varphi_{s2}+\alpha)} e^{j(\varphi_{s2}+2\alpha)} e^{j(\varphi_{s2}+3\alpha)})$$

Here  $\varphi_{c1}, \varphi_{c2}, \varphi_{s1}$  and  $\varphi_{s2}$  can be any initial angular degree. It can be proven that the properties of auto-correlation and cross-correlation functions of each resultant access code are still unchanged after rotating transformation. However, the side lobes outside "zero correlation window" are relating to the rotating angular degree (being narrower or changing polarity). The aforementioned basically orthogonal complementary code group can be deemed as the code group with zero rotating angular degree.

Selecting properly the different rotating angular degree can make the rotated code groups orthogonal between them, i.e. multi groups of orthogonal codes can be generated from one group of orthogonal codes. This will be very convenient for the engineering application, especially when the code length is a little bit longer, sometimes the result will be so wonderful that it could meet various of actual needs of engineering, such as networking configuration, handoff/handovers, as well as the

enhancement of RF capacity, etc.

5 Making transformation in the generation tree: for example, FIG. 3 is a kind of equivalent transform of FIG. 2, i.e. by moving all C1 codes and S1 codes to the left, C2 codes and S2 codes to the right in the corresponding C code and S code position; and interlacing, in certain rules, the code bits of C code and S code in the resulted multiple access codes groups, or changing the polarity arrangement, etc. In Mathematics, such transformation is called equivalent transformation. There are a lot of equivalent transforms that are impossible to enumerate one by one.

10 When applying the said formed spread spectrum access codes in practical project, it should be ensured that code C only operate with code C (including itself and other codes), and code S only with code S (including itself and other codes). Code C is never allowed to encounter code S. Therefore; the special parting measures should be taken in the actual application. For example, code C and code S can be modulated respectively on polarized waves (horizontal and vertical polarized waves, laevorotation and dextrorotation polarized waves) orthogonal with each other. Another example, code C and code S can be put in two time slots that will not overlap with each other after transmission. Because the propagation channels will change

randomly with time, the channel properties within the two polarized waves and two time slots should be kept synchronous in the propagation process to ensure the complementarity. In terms of engineering, their fading should be synchronous. This means that when parting by polarization, the frequency channel without depolarization that can ensure the orthogonal polarized waves fading synchronously and corresponding measures should be used; when parting by time division, it should be ensured that the gap between two time slots is far less than the correlation time of channel; when using other parting methods, the synchronous fading should also be ensured.

Because code C and code S should be parted when propagation, and in the meantime, to utilize their complementarity, it is obvious that the data bits modulated on them should be identical, while the outputs after de-spreading and demodulation of code C and code S should be added together.

The coding method of the present invention presents a linear relation, because the total required number of code bits is only in direct proportion to the required number of accesses (about twofold). It moves forwards more creative step compared with the results of Dr. B.P. Schweitzer , Leppanen and Pentti. In their methods, the total required number of code bits is a

cube relation with the required number of accesses. Therefore,  
it can be said that using the CDMA system according to the  
present invention will have much higher spectrum efficiency.

The present invention has been fully verified by computer  
simulation for four years. Under the same conditions, such as  
propagation fading, widening of multipath transmission, system  
bandwidth, subscriber transmission rate, and frame structure, as  
those of the first commercial CDMA standard in the world, i.e.  
IS-95, the spectrum efficiency of the system, when using the  
multiple access code system of the present invention, will be at  
least sixfold as that of IS-95.

10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65  
66  
67  
68  
69  
70  
71  
72  
73  
74  
75  
76  
77  
78  
79  
80  
81  
82  
83  
84  
85  
86  
87  
88  
89  
90  
91  
92  
93  
94  
95  
96  
97  
98  
99  
100  
101  
102  
103  
104  
105  
106  
107  
108  
109  
110  
111  
112  
113  
114  
115  
116  
117  
118  
119  
120  
121  
122  
123  
124  
125  
126  
127  
128  
129  
130  
131  
132  
133  
134  
135  
136  
137  
138  
139  
140  
141  
142  
143  
144  
145  
146  
147  
148  
149  
150  
151  
152  
153  
154  
155  
156  
157  
158  
159  
160  
161  
162  
163  
164  
165  
166  
167  
168  
169  
170  
171  
172  
173  
174  
175  
176  
177  
178  
179  
180  
181  
182  
183  
184  
185  
186  
187  
188  
189  
190  
191  
192  
193  
194  
195  
196  
197  
198  
199  
200  
201  
202  
203  
204  
205  
206  
207  
208  
209  
210  
211  
212  
213  
214  
215  
216  
217  
218  
219  
220  
221  
222  
223  
224  
225  
226  
227  
228  
229  
230  
231  
232  
233  
234  
235  
236  
237  
238  
239  
240  
241  
242  
243  
244  
245  
246  
247  
248  
249  
250  
251  
252  
253  
254  
255  
256  
257  
258  
259  
260  
261  
262  
263  
264  
265  
266  
267  
268  
269  
270  
271  
272  
273  
274  
275  
276  
277  
278  
279  
280  
281  
282  
283  
284  
285  
286  
287  
288  
289  
290  
291  
292  
293  
294  
295  
296  
297  
298  
299  
300  
301  
302  
303  
304  
305  
306  
307  
308  
309  
310  
311  
312  
313  
314  
315  
316  
317  
318  
319  
320  
321  
322  
323  
324  
325  
326  
327  
328  
329  
330  
331  
332  
333  
334  
335  
336  
337  
338  
339  
340  
341  
342  
343  
344  
345  
346  
347  
348  
349  
350  
351  
352  
353  
354  
355  
356  
357  
358  
359  
360  
361  
362  
363  
364  
365  
366  
367  
368  
369  
370  
371  
372  
373  
374  
375  
376  
377  
378  
379  
380  
381  
382  
383  
384  
385  
386  
387  
388  
389  
390  
391  
392  
393  
394  
395  
396  
397  
398  
399  
400  
401  
402  
403  
404  
405  
406  
407  
408  
409  
410  
411  
412  
413  
414  
415  
416  
417  
418  
419  
420  
421  
422  
423  
424  
425  
426  
427  
428  
429  
430  
431  
432  
433  
434  
435  
436  
437  
438  
439  
440  
441  
442  
443  
444  
445  
446  
447  
448  
449  
450  
451  
452  
453  
454  
455  
456  
457  
458  
459  
460  
461  
462  
463  
464  
465  
466  
467  
468  
469  
470  
471  
472  
473  
474  
475  
476  
477  
478  
479  
480  
481  
482  
483  
484  
485  
486  
487  
488  
489  
490  
491  
492  
493  
494  
495  
496  
497  
498  
499  
500  
501  
502  
503  
504  
505  
506  
507  
508  
509  
510  
511  
512  
513  
514  
515  
516  
517  
518  
519  
520  
521  
522  
523  
524  
525  
526  
527  
528  
529  
530  
531  
532  
533  
534  
535  
536  
537  
538  
539  
540  
541  
542  
543  
544  
545  
546  
547  
548  
549  
550  
551  
552  
553  
554  
555  
556  
557  
558  
559  
560  
561  
562  
563  
564  
565  
566  
567  
568  
569  
570  
571  
572  
573  
574  
575  
576  
577  
578  
579  
580  
581  
582  
583  
584  
585  
586  
587  
588  
589  
590  
591  
592  
593  
594  
595  
596  
597  
598  
599  
600  
601  
602  
603  
604  
605  
606  
607  
608  
609  
610  
611  
612  
613  
614  
615  
616  
617  
618  
619  
620  
621  
622  
623  
624  
625  
626  
627  
628  
629  
630  
631  
632  
633  
634  
635  
636  
637  
638  
639  
640  
641  
642  
643  
644  
645  
646  
647  
648  
649  
650  
651  
652  
653  
654  
655  
656  
657  
658  
659  
660  
661  
662  
663  
664  
665  
666  
667  
668  
669  
670  
671  
672  
673  
674  
675  
676  
677  
678  
679  
680  
681  
682  
683  
684  
685  
686  
687  
688  
689  
690  
691  
692  
693  
694  
695  
696  
697  
698  
699  
700  
701  
702  
703  
704  
705  
706  
707  
708  
709  
710  
711  
712  
713  
714  
715  
716  
717  
718  
719  
720  
721  
722  
723  
724  
725  
726  
727  
728  
729  
730  
731  
732  
733  
734  
735  
736  
737  
738  
739  
740  
741  
742  
743  
744  
745  
746  
747  
748  
749  
750  
751  
752  
753  
754  
755  
756  
757  
758  
759  
760  
761  
762  
763  
764  
765  
766  
767  
768  
769  
770  
771  
772  
773  
774  
775  
776  
777  
778  
779  
780  
781  
782  
783  
784  
785  
786  
787  
788  
789  
790  
791  
792  
793  
794  
795  
796  
797  
798  
799  
800  
801  
802  
803  
804  
805  
806  
807  
808  
809  
810  
811  
812  
813  
814  
815  
816  
817  
818  
819  
820  
821  
822  
823  
824  
825  
826  
827  
828  
829  
830  
831  
832  
833  
834  
835  
836  
837  
838  
839  
840  
841  
842  
843  
844  
845  
846  
847  
848  
849  
850  
851  
852  
853  
854  
855  
856  
857  
858  
859  
860  
861  
862  
863  
864  
865  
866  
867  
868  
869  
870  
871  
872  
873  
874  
875  
876  
877  
878  
879  
880  
881  
882  
883  
884  
885  
886  
887  
888  
889  
890  
891  
892  
893  
894  
895  
896  
897  
898  
899  
900  
901  
902  
903  
904  
905  
906  
907  
908  
909  
910  
911  
912  
913  
914  
915  
916  
917  
918  
919  
920  
921  
922  
923  
924  
925  
926  
927  
928  
929  
930  
931  
932  
933  
934  
935  
936  
937  
938  
939  
940  
941  
942  
943  
944  
945  
946  
947  
948  
949  
950  
951  
952  
953  
954  
955  
956  
957  
958  
959  
960  
961  
962  
963  
964  
965  
966  
967  
968  
969  
970  
971  
972  
973  
974  
975  
976  
977  
978  
979  
980  
981  
982  
983  
984  
985  
986  
987  
988  
989  
990  
991  
992  
993  
994  
995  
996  
997  
998  
999  
1000  
1001  
1002  
1003  
1004  
1005  
1006  
1007  
1008  
1009  
1010  
1011  
1012  
1013  
1014  
1015  
1016  
1017  
1018  
1019  
1020  
1021  
1022  
1023  
1024  
1025  
1026  
1027  
1028  
1029  
1030  
1031  
1032  
1033  
1034  
1035  
1036  
1037  
1038  
1039  
1040  
1041  
1042  
1043  
1044  
1045  
1046  
1047  
1048  
1049  
1050  
1051  
1052  
1053  
1054  
1055  
1056  
1057  
1058  
1059  
1060  
1061  
1062  
1063  
1064  
1065  
1066  
1067  
1068  
1069  
1070  
1071  
1072  
1073  
1074  
1075  
1076  
1077  
1078  
1079  
1080  
1081  
1082  
1083  
1084  
1085  
1086  
1087  
1088  
1089  
1090  
1091  
1092  
1093  
1094  
1095  
1096  
1097  
1098  
1099  
1100  
1101  
1102  
1103  
1104  
1105  
1106  
1107  
1108  
1109  
1110  
1111  
1112  
1113  
1114  
1115  
1116  
1117  
1118  
1119  
1120  
1121  
1122  
1123  
1124  
1125  
1126  
1127  
1128  
1129  
1130  
1131  
1132  
1133  
1134  
1135  
1136  
1137  
1138  
1139  
1140  
1141  
1142  
1143  
1144  
1145  
1146  
1147  
1148  
1149  
1150  
1151  
1152  
1153  
1154  
1155  
1156  
1157  
1158  
1159  
1160  
1161  
1162  
1163  
1164  
1165  
1166  
1167  
1168  
1169  
1170  
1171  
1172  
1173  
1174  
1175  
1176  
1177  
1178  
1179  
1180  
1181  
1182  
1183  
1184  
1185  
1186  
1187  
1188  
1189  
1190  
1191  
1192  
1193  
1194  
1195  
1196  
1197  
1198  
1199  
1200  
1201  
1202  
1203  
1204  
1205  
1206  
1207  
1208  
1209  
1210  
1211  
1212  
1213  
1214  
1215  
1216  
1217  
1218  
1219  
1220  
1221  
1222  
1223  
1224  
1225  
1226  
1227  
1228  
1229  
1230  
1231  
1232  
1233  
1234  
1235  
1236  
1237  
1238  
1239  
1240  
1241  
1242  
1243  
1244  
1245  
1246  
1247  
1248  
1249  
1250  
1251  
1252  
1253  
1254  
1255  
1256  
1257  
1258  
1259  
1260  
1261  
1262  
1263  
1264  
1265  
1266  
1267  
1268  
1269  
1270  
1271  
1272  
1273  
1274  
1275  
1276  
1277  
1278  
1279  
1280  
1281  
1282  
1283  
1284  
1285  
1286  
1287  
1288  
1289  
1290  
1291  
1292  
1293  
1294  
1295  
1296  
1297  
1298  
1299  
1300  
1301  
1302  
1303  
1304  
1305  
1306  
1307  
1308  
1309  
1310  
1311  
1312  
1313  
1314  
1315  
1316  
1317  
1318  
1319  
1320  
1321  
1322  
1323  
1324  
1325  
1326  
1327  
1328  
1329  
1330  
1331  
1332  
1333  
1334  
1335  
1336  
1337  
1338  
1339  
1340  
1341  
1342  
1343  
1344  
1345  
1346  
1347  
1348  
1349  
1350  
1351  
1352  
1353  
1354  
1355  
1356  
1357  
1358  
1359  
1360  
1361  
1362  
1363  
1364  
1365  
1366  
1367  
1368  
1369  
1370  
1371  
1372  
1373  
1374  
1375  
1376  
1377  
1378  
1379  
1380  
1381  
1382  
1383  
1384  
1385  
1386  
1387  
1388  
1389  
1390  
1391  
1392  
1393  
1394  
1395  
1396  
1397  
1398  
1399  
1400  
1401  
1402  
1403  
1404  
1405  
1406  
1407  
1408  
1409  
1410  
1411  
1412  
1413  
1414  
1415  
1416  
1417  
1418  
1419  
1420  
1421  
1422  
1423  
1424  
1425  
1426  
1427  
1428  
1429  
1430  
1431  
1432  
1433  
1434  
1435  
1436  
1437  
1438  
1439  
1440  
1441  
1442  
1443  
1444  
1445  
1446  
1447  
1448  
1449  
1450  
1451  
1452  
1453  
1454  
1455  
1456  
1457  
1458  
1459  
1460  
1461  
1462  
1463  
1464  
1465  
1466  
1467  
1468  
1469  
1470  
1471  
1472  
1473  
1474  
1475  
1476  
1477  
1478  
1479  
1480  
1481  
1482  
1483  
1484  
1485  
1486  
1487  
1488  
1489  
1490  
1491  
1492  
1493  
1494  
1495  
1496  
1497  
1498  
1499  
1500  
1501  
1502  
1503  
1504  
1505  
1506  
1507  
1508  
1509  
1510  
1511  
1512  
1513  
1514  
1515  
1516  
1517  
1518  
1519  
1520  
1521  
1522  
1523  
1524  
1525  
1526  
1527  
1528  
1529  
1530  
1531  
1532  
1533  
1534  
1535  
1536  
1537  
1538  
1539  
1540  
1541  
1542  
1543  
1544  
1545  
1546  
1547  
1548  
1549  
1550  
1551  
1552  
1553  
1554  
1555  
1556  
1557  
1558  
1559  
1560  
1561  
1562  
1563  
1564  
1565  
1566  
1567  
1568  
1569  
1570  
1571  
1572  
1573  
1574  
1575  
1576  
1577  
1578  
1579  
1580  
1581  
1582  
1583  
1584  
1585  
1586  
1587  
1588  
1589  
1590  
1591  
1592  
1593  
1594  
1595  
1596  
1597  
1598  
1599  
1600  
1601  
1602  
1603  
1604  
1605  
1606  
1607  
1608  
1609  
1610  
1611  
1612  
1613  
1614  
1615  
1616  
1617  
1618  
1619  
1620  
1621  
1622  
1623  
1624  
1625  
1626  
1627  
1628  
1629  
1630  
1631  
1632  
1633  
1634  
1635  
1636  
1637  
1638  
1639  
1640  
1641  
1642  
1643  
1644  
1645  
1646  
1647  
1648  
1649  
1650  
1651  
1652  
1653  
1654  
1655  
1656  
1657  
1658  
1659  
1660  
1661  
1662  
1663  
1664  
1665  
1666  
1667  
1668  
1669  
1670  
1671  
1672  
1673  
1674  
1675  
1676  
1677  
1678  
1679  
1680  
1681  
1682  
1683  
1684  
1685  
1686  
1687  
1688  
1689  
1690  
1691  
1692  
1693  
1694  
1695  
1696  
1697  
1698  
1699  
1700  
1701  
1702  
1703  
1704  
1705  
1706  
1707  
1708  
1709  
1710  
1711  
1712  
1713  
1714  
1715  
1716  
1717  
1718  
1719  
1720  
1721  
1722  
1723  
1724  
1725  
1726  
1727  
1728  
1729  
1730  
1731  
1732  
1733  
1734  
1735  
1736  
1737  
1738  
1739  
1740  
1741  
1742  
1743  
1744  
1745  
1746  
1747  
1748  
1749  
1750  
1751  
1752  
1753  
1754  
1755  
1756  
1757  
1758  
1759  
1760  
1761  
1762  
1763  
1764  
1765  
1766  
1767  
1768  
1769  
1770  
1771  
1772  
1773  
1774  
1775  
1776  
1777  
1778  
1779  
1780  
1781  
1782  
1783  
1784  
1785  
1786  
1787  
1788  
1789  
1790  
1791  
1792  
1793  
1794  
1795  
1796  
1797  
1798  
1799  
1800  
1801  
1802  
1803  
1804  
1805  
1806  
1807  
1808  
1809  
1810  
1811  
1812  
1813  
1814  
1815  
1816  
1817  
1818  
1819  
1820  
1821  
1822  
1823  
1824  
1825  
1826  
1827  
1828  
1829  
1830  
1831  
1832  
1833  
1834  
1835  
1836  
1837  
1838  
1839  
1840  
1841  
1842  
1843  
1844  
1845  
1846  
1847  
1848  
1849  
1850  
1851  
1852  
1853  
1854  
1855  
1856  
1857  
1858  
1859  
1860  
1861  
1862  
1863  
1864  
1865  
1866  
1867  
1868  
1869  
1870  
1871  
1872  
1873  
1874  
1875  
1876  
1877  
1878  
1879  
1880  
1881  
1882  
1883  
1884  
1885  
1886  
1887  
1888  
1889  
1890  
1891  
1892  
1893  
1894  
1895  
1896  
1897  
1898  
1899  
1900  
1901  
1902  
1903  
1904  
1905  
1906  
1907  
1908  
1909  
1910  
1911  
1912  
1913  
1914  
1915  
1916  
1917  
1918  
1919  
1920  
1921  
1922  
1923  
1924  
1925  
1926  
1927  
1928  
1929  
1930  
1931  
1932  
1933  
1934  
1935  
1936  
1937  
1938  
1939  
1940  
1941  
1942  
1943  
1944  
1945  
1946  
1947  
1948  
1949  
1950  
1951  
1952  
1953  
1954  
1955  
1956  
1957  
1958  
1959  
1960  
1961  
1962  
1963  
1964  
1965  
1966  
1967  
1968  
1969  
1970  
1971  
1972  
1973  
1974  
1975  
1976  
1977  
1978  
1979  
1980  
1981  
1982  
1983  
1984  
1985  
1986  
1987  
1988  
1989  
1990  
1991  
1992  
1993  
1994  
1995  
1996  
1997  
1998  
1999  
2000  
2001  
2002  
2003  
2004  
2005  
2006  
2007  
2008  
2009  
2010  
2011  
2012  
2013  
2014  
2015  
2016  
2017  
2018  
2019  
2020  
2021  
2022  
2023  
2024  
2025  
2026  
2027  
2028  
2029  
2030  
2031  
2032  
2033  
2034  
2035  
2036  
2037  
2038  
2039  
2040  
2041  
2042  
2043  
2044  
2045  
2046  
2047  
2048  
2049  
2050  
2051  
2052  
2053  
2054  
2055  
2056  
2057  
2058  
2059  
2060  
2061  
2062  
2063  
2064  
2065  
2066  
2067  
2068  
2069  
2070  
2071  
2072  
2073  
2074  
2075  
2076  
2077  
2078  
2079  
2080  
2081  
2082  
2083  
2084  
2085  
2086  
2087  
2088  
2089  
2090  
2091  
2092  
2093  
2094  
2095  
2096  
2097  
2098  
2099  
2100  
2101  
2102  
2103  
2104  
2105  
2106  
2107  
2108  
2109  
2110  
2111  
2112  
2113  
2114  
2115  
2116  
2117  
2118  
2119  
2120  
2121  
2122  
2123  
2124  
2125  
2126  
2127  
2128  
2129  
2130  
2131  
2132  
2133  
2134  
2135  
2136  
2137  
2138  
2139  
2140  
2141  
2142  
2143  
2144  
2145  
2146  
2147  
2148  
2149  
2150  
2151  
2152  
2153  
2154  
2155  
2156  
2157  
2158  
2159  
2160  
2161  
2162  
2163  
2164  
2165  
2166  
2167  
2168  
2169  
2170  
2171  
2172  
2173  
2174  
2175  
2176  
2177  
2178  
2179  
2180  
2181  
2182  
2183  
2184  
2185  
2186  
2187  
2188  
2189  
2190  
2191  
2192  
2193  
2194  
2195  
2196  
2197  
2198  
2199  
2200  
2201  
2202  
2203  
2204  
2205  
2206  
2207  
2208  
2209  
2210  
2211  
2212  
2213  
2214

I CLAIM:

1. A construction method of the spreading spectrum multiple access codes with zero correlation window, wherein the method includes the following steps:

5 selecting a pair of basically orthogonal complementary code group (C1, S1), (C2, S2) with each code length as N chips, in which the acyclic auto-correlation and cross-correlation functions of code C and code S oppose each other but also complement each other except at the origin, the values of auto-correlation and cross-correlation functions after summarization are zero except at the origin; and

10 based on the actually required maximum number of subscriber accesses, spreading the code length and code number of the basically orthogonal complementary code group in a tree structure, the values of auto-correlation functions of the spreaded code group are zero except at the origin, while the cross-correlation functions form a zero correlation window about the origin, with the window size greater than or equal to  $2N-1$ .

15 2. The construction method of the spreading spectrum multiple access codes according to the claim 1, wherein the size of the zero correlation window is  $\geq$  the maximum relative time delay inside each access code of the system or between them, the maximum relative time delay is dependent on the summation of the

maximum time dispersion of the channel and the timing error of the system.

3. The construction method of the spreading spectrum multiple access codes according to the claim 1, wherein the above code C and code S are transmitted respectively by using two orthogonal and fading synchronously transmission channels, and carrying the same data bits when modulation, while the outputs are added together after de-spreading and demodulation.

4. The construction method of the spreading spectrum multiple access codes according to the claim 1, wherein the spreading the code length and code number of the basically orthogonal complementary code group in a tree structure refers to:

If  $(C1, S1), (C2, S2)$  is a pair of basically orthogonal complementary code group with code length N, the two pairs of orthogonal complementary code group with each code length 2N can be generated in the following way:

		C1	C2	S1	S2	
		C1	-C2	S1	-S2	
C1	S1	[	C2	C1	S2	S1
C2	S2		C2	-C1	S2	-S1

10

15      Wherein the values of auto-correlation functions of the  
orthogonal complementary code group formed on upper and lower  
trees after spread will be zero everywhere except at the origin,  
while the cross-correlation functions will form a Zero  
Correlation Window around the origin with the size of the window  
greater than or equal to  $2N-1$ .

5.    The construction method of the spreading spectrum  
multiple access codes according to the claim 4, wherein the  
above spread can be kept going on in accordance with the tree  
structure so as to generate  $2^{n+1}$  orthogonal complementary code  
groups with the code length  $N2^n$  and the width of the zero  
correlation window greater than or equal to  $2N-1$ , in which  $n =$   
 $0, 1, 2, \dots$  is the number of spread times.

6.    The construction method of the spreading spectrum  
multiple access codes according to the claim 4 or 5, wherein  
equivalent transformation can be applied to the resultant  
orthogonal complementary code group.

7.    The construction method of the spreading spectrum  
multiple access codes according to the claim 6, wherein the  
equivalent transformation can be swap of the forward and  
backward position of the resultant code group.

8. The construction method of the spreading spectrum multiple access codes according to the claim 6, wherein the equivalent transformation can be swap of the up and down position of the resultant code group.

9. The construction method of the spreading spectrum multiple access codes according to the claim 6, wherein the equivalent transformation can be negation of code order of each code.

10. The construction method of the spreading spectrum multiple access codes according to the claim 6, wherein the equivalent transformation can be interlacement of polarity of each code bit.

11. The construction method of the spreading spectrum multiple access codes according to the claim 6, wherein the equivalent transformation can be rotation of each code bit in complex plane in a sequence or without sequence.

12. The construction method of the spreading spectrum multiple access codes according to the claim 6, wherein the transformation can be any equivalent transformation that is proven in mathematics.



13. The construction method of the spreading spectrum multiple access codes according to the claim 1, wherein the pair of basically orthogonal complementary code group (C1, S1), (C2, S2) refers to that the auto-correlation function and cross-correlation function is respectively the summation of acyclic auto-correlation with cross-correlation functions between codes C, and the summation of acyclic auto-correlation with cross-correlation functions between codes S.

14. The construction method of the spreading spectrum multiple access codes according to the claim 13, wherein the code length and the width of the zero correlation window of the pair of basically orthogonal complementary code group can be spread in the following way:

		C1	C2	S1	S2
C1 S1	[	C1	-C2	S1	-S2
C2 S2		C2	C1	S2	S1
		C2	-C1	S2	-S1

Wherein if each code length of the pair of basically orthogonal complementary code group (C1, S1), (C2, S2) is N, and the width of the zero correlation window is L, then each

code length of the spread pair of basically orthogonal  
complementary code group will be  $2N$ , while the width of the zero  
correlation window will be  $2L+1$ .

15. The construction method of the spreading spectrum  
multiple access codes according to the claim 14, wherein when  $N$   
 $= 2$ , the pair of basically orthogonal complementary code group  
will be:

( ++ ' +- )

( -+ ' -- )

Wherein "+" means +1 and "-" -1, while the width of the  
zero correlation window will be 3.

16. The construction method of the spreading spectrum  
multiple access codes according to the claim 14 or 15, wherein  
the above spread can be kept going on in accordance with the  
tree structure so as to generate  $2^n$  pairs of orthogonal  
complementary code groups with the code length  $N2^n$  and the width

of the zero correlation window as  $2^n L + 2^{n-1} + 2^{n-2} + 2^{n-3} + \dots + 2^1 + 1$  , in which  $n = 0, 1, 2, \dots$  is the number of spread times.

17. The construction method of the spreading spectrum multiple access codes according to the claim 16, wherein the equivalent transformation can be applied to the resultant basically orthogonal complementary code group.

18. The construction method of the spreading spectrum multiple access codes according to the claim 17, wherein the equivalent transformation can be swap of the forward and backward position of the resultant code group.

19. The construction method of the spreading spectrum multiple access codes according to the claim 17, wherein the equivalent transformation can be swap of the up and down position of the resultant code group.

20. The construction method of the spreading spectrum multiple access codes according to the claim 17, wherein the equivalent transformation can be negation of code order of each code.

LAW OFFICES

DAVID NEWMAN  
CHARTERED

CENTENNIAL SQUARE  
P. O. BOX 2728  
LA PLATA, MD 20646  
(301) 934-6100

21. The construction method of the spreading spectrum multiple access codes according to the claim 17, wherein the equivalent transformation can be interlacement of polarity of each code bit.

22. The construction method of the spreading spectrum multiple access codes according to the claim 17, wherein the equivalent transformation can be rotation of each code bit in complex plane in a sequence or without sequence.

23. The construction method of the spreading spectrum multiple access codes according to the claim 17, wherein the transformation can be any equivalent transformation that is proven in Mathematics.

24. The construction method of the spreading spectrum multiple access codes according to the claim 3, wherein the orthogonal and fading synchronously transmission channel refers to the orthogonal polarized wave.

25. The construction method of the spreading spectrum multiple access codes according to the claim 3, wherein the orthogonal and fading synchronously transmission channel is the time slots without overlap to each other.

LAW OFFICES

DAVID NEWMAN  
CHARTERED

CENTENNIAL SQUARE

P. O. BOX 2728

LA PLATA, MD 20646

(301) 934-6100

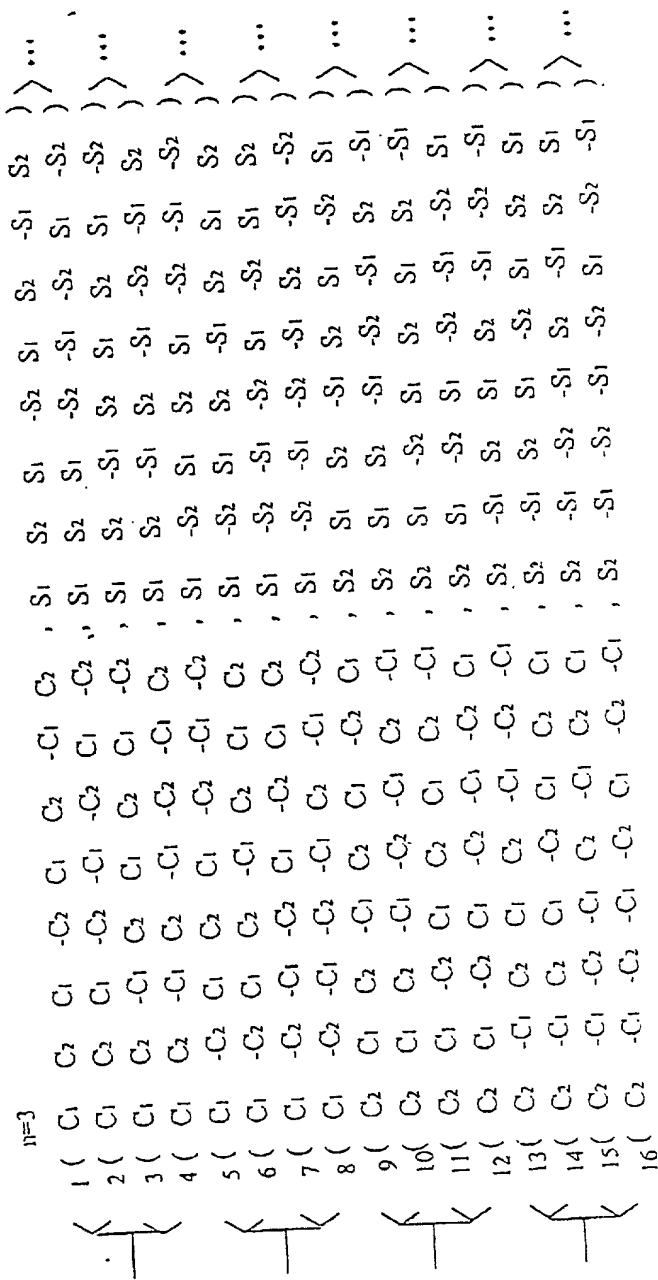
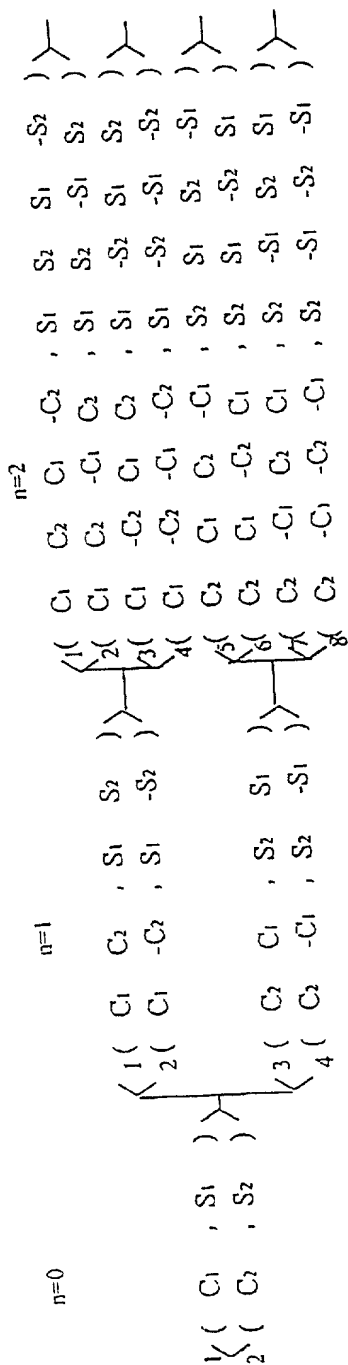
26. The construction method of the spreading spectrum multiple access codes according to the claim 1, wherein one code or multiple access codes can be allocated based on the needs of the different data rate and services of each subscriber to actualize the different quality of priority level services.

27. The construction method of the spreading spectrum multiple access codes according to the claim 1, wherein the required spreading spectrum access codes can be adaptively generated based on the zero correlation window required by the different propagation modes, different number of subscribers, and the needs of different data rate as well as services, so that there are no inter-signal interference (ISI) and multi access interference (MAI) in the corresponding spreading spectrum CDMA system.

28. The construction method of the spreading spectrum multiple access codes according to the claim 1, wherein the resultant multiple access codes by the equivalent transformation can be used to meet the needs of network configuration, handoff and enhancement of system capacity, etc in cellular mobile or fixed point to multi points wireless telecommunications system.

29. The construction method of the spreading spectrum multiple access codes according to the claim 1, wherein coding can be made, as one of the complex codes, by using complex codes.

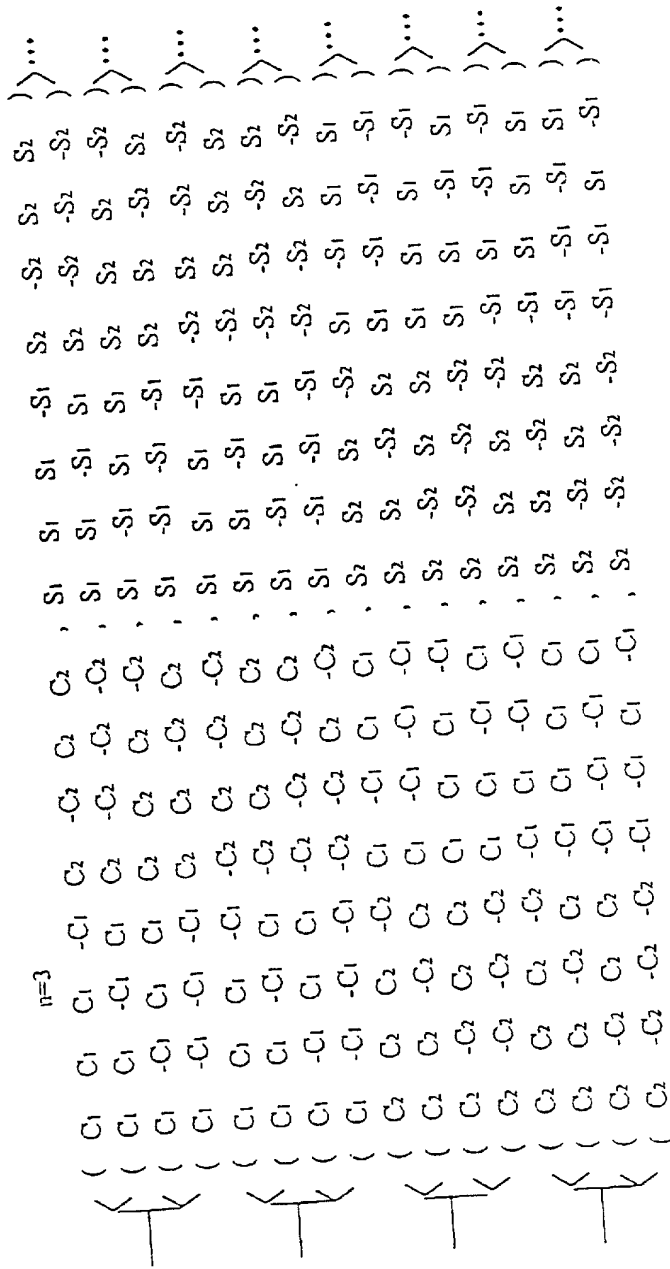
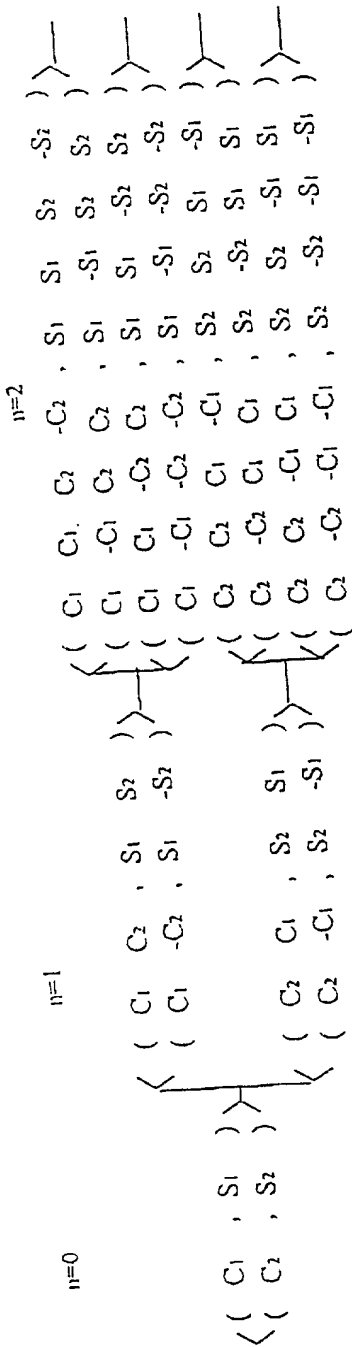
30. The construction method of the spreading spectrum multiple access codes according to the claim 1, wherein it can be applied to any TD/CDMA, FD/CDMA, WD/CDMA, SD/CDMA or CDMA communications system.



1  
541

2/3

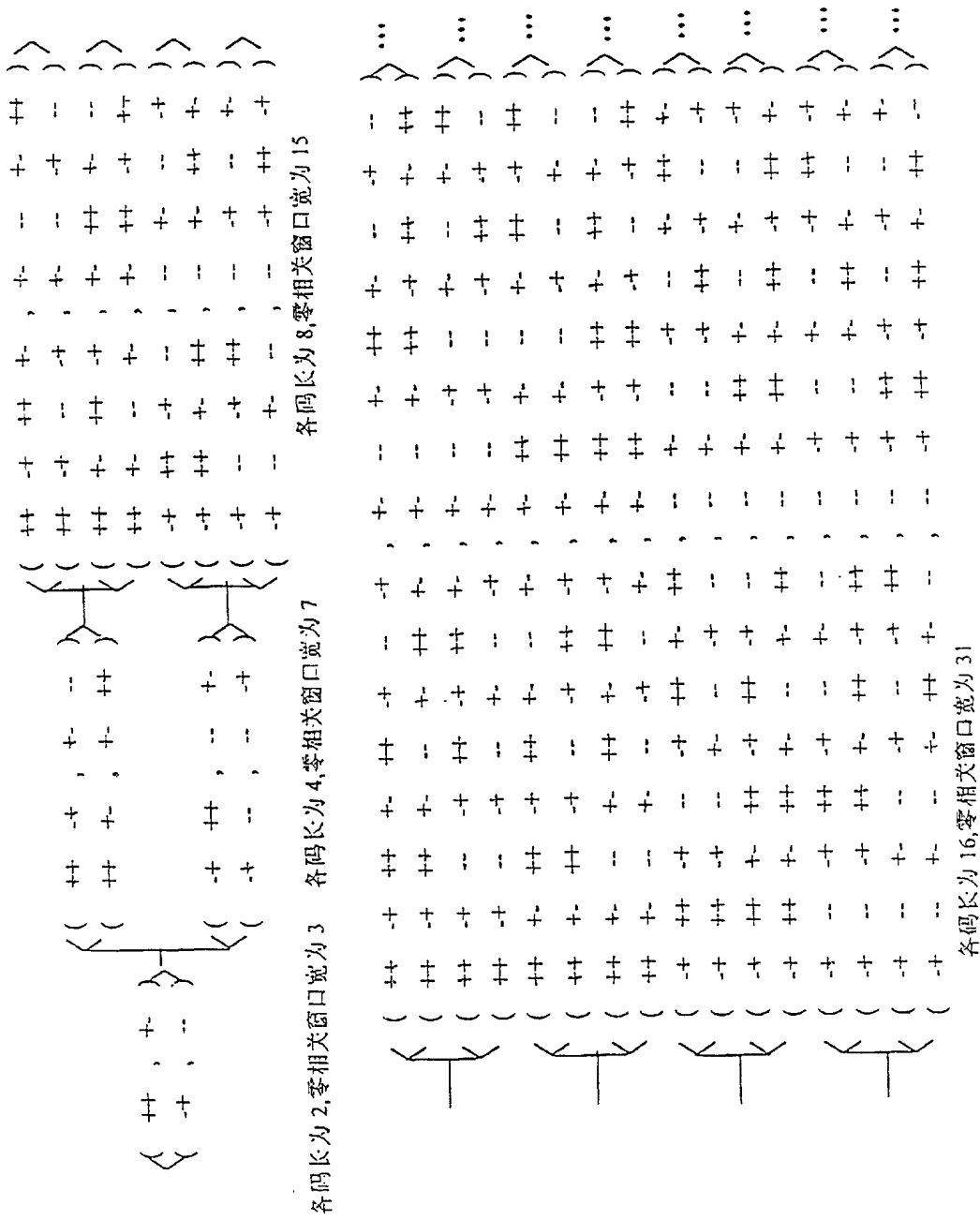
TOP SECRET



2



3/3



Attorney Docket: LKAR01US

DECLARATION AND POWER OF ATTORNEY

As below-named inventor, I hereby declare that: my residence, post office address, and citizenship are as stated below next to my name; that I believe I am the original, first, and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A SPREAD-SPECTRUM MULTIPLE ACCESS CODING METHOD  
WITH ZERO CORRELATION WINDOW

the specification for which an international patent application was filed 17 FEBRUARY 2000, having INTERNATIONAL APPLICATION NO. PCT/CN00/00028.

I hereby state that I have reviewed and understand the contents of the above-identified patent application, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application, in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby appoint the following attorney to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: David B. Newman, Jr.,  
Registration No. 30,966.

Please address all correspondence to:

DAVID NEWMAN CHARTERED  
Centennial Square  
Post Office Box 2728  
La Plata, Maryland 20646-2728  
Telephone No. (301) 934-6100

LAW OFFICES  
DAVID NEWMAN  
CHARTERED  
CENTENNIAL SQUARE  
P.O. BOX 2728  
LA PLATA, MD 20646  
(301) 934-6100

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of inventor:

100 Daoben Li

Residence:

No. 232, Building No. 22  
No. 10 West Tucheng Road  
Haidian District  
Beijing 100044, China CNX

Post Office Address:

No. 232, Building No. 22  
No. 10 West Tucheng Road  
Haidian District  
Beijing 100044, China

Citizenship: People's Republic of China

Daoben Li

Date:

Feb. 18. 2001

LAW OFFICES  
DAVID NEWMAN  
CHARTERED  
CENTENNIAL SQUARE  
P.O. BOX 77211  
LA PLATA, MD 20646  
(301) 934-6100

1-ALKARILEAR01US.DPA.wpd